

# Award in Maltese Financial Regulations (MFR)



## Aims of this course:

The primary objective of this Award is to provide an understanding of the local regulatory financial framework. It aims to help participants grasp the implications of the regulatory environment in which they operate and enhances their proficiency in conducting licensed investment/financial advice.

A non-exhaustive list of topics covered within this course includes the following:

- Law relating to banking and recovery and resolution
- Law relating to investment advice
- Law relating to insurance

## Target Audience:

This program is recommended for students who have obtained or are in the process of obtaining the following qualifications:

- Diploma for Financial Advisers (DipFA)
- Diploma in Investment Advice (DiplA)
- Chartered Wealth Manager Qualification (CISI)
- EFFAS Certified European Financial Analyst
- Anyone working in Financial Services who wants a basic understanding of Maltese Financial Regulations

## Learning Outcomes:

### Knowledge & Understanding

Upon finishing the training, the participant will have the ability to comprehend and utilise the knowledge to fulfil the needs of clients seeking practical financial guidance.

### Skills:

Following the training, the participant will be capable of applying legislation, regulations, enforcement, and compliance to transactions involving financial advice.

## Mode of Assessment:

The assessment will be a 2-hour written exam with a pass mark of 50%.

This short course is accredited by the MFHEA, rated at MQF/EQF Level 3 and earning 3 ECTS. IFS Malta is licensed as a Further and higher Education Institution to deliver and award further and higher education programmes by the MFHEA bearing license number 2013-FHI-017.



## Award in Maltese Financial Regulation (MFR)

### REGISTRATION FORM

#### Personal Information

Name & Surname: \_\_\_\_\_

Date of Birth: \_\_\_\_/\_\_\_\_/\_\_\_\_ ID No: \_\_\_\_\_

Contact No: \_\_\_\_\_

Home Address: \_\_\_\_\_

E-mail: \_\_\_\_\_

#### Workplace Information

Name & Address: \_\_\_\_\_

Work e-mail: \_\_\_\_\_ Work Tel No: \_\_\_\_\_

I certify that the information hereby provided is true and correct.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

#### Tick where appropriate

Regular Fee	€ 590	
In conjunction with:		
LIBF <u>DipFA</u>		<input type="checkbox"/>
LIBF Dip IA	€ 490	<input type="checkbox"/>
Chartered Wealth Management Qualification (CISI) Name of Course: _____		<input type="checkbox"/>
EFFAS CEFA		<input type="checkbox"/>
If registered for one of the following in 2024-2025:		
LIBF <u>DipFA</u>		<input type="checkbox"/>
LIBF Dip IA	€ 390	<input type="checkbox"/>
Chartered Wealth Management Qualification (CISI) Name of Course: _____		<input type="checkbox"/>
EFFAS CEFA		<input type="checkbox"/>

**To make payment kindly credit the following account:**

A/C Holder: Institute of Financial Services - Malta

Bank: BOV Republic Street Valletta

A/C No: 10207225018

IBAN No: MT39VALL22013000000010207225018

BIC (Swift Code): VALLMTMT

**To Register: Complete this form and send with proof of payment to [info@ifsmalta.org](mailto:info@ifsmalta.org)**

**Refund Policy:** A full refund is offered before the start of the course, deducting a €50 administration fee. No refund is applicable once the course has started.

**Membership:** Registration entitles you to become a fully registered local member of ifs Malta. This membership is valid for the duration of the course or a period of 12 months, whichever is the longest.

### **Equal Opportunities and disability statement**

ifs Malta welcomes applications from students with additional support needs due to a disability, medical condition or specific language difficulty e.g. dyslexia.

All applications will be considered under the same criteria as other applications. You are encouraged to contact the ifs Malta team ([info@ifsmalta.org](mailto:info@ifsmalta.org)) as soon as possible to discuss any requirements you may have relating to your study or other needs.

This is so that we can take all reasonable steps to ensure your needs are met and that the relevant staff are informed of support requirements at the earliest opportunity.

ifs Malta will take all reasonable steps to ensure that applicants who meet the academic criteria will not be excluded from the course that interests them for reasons relating to their disability. However, there may be rare occasions that we would be unable to meet an individual's needs, but this would be discussed in detail and every avenue would be investigated before a decision was made.

**Data Protection Notice:** ifs Malta holds and processes your personal data to give you the full benefits of being a student, for administration purposes and to inform you of any future ifs Malta events, services and related information. ifs Malta does not sell or give your personal data to any third party. In instances where employers sponsor their employees for all or parts of the course, ifs Malta is bound to inform such employers of their performance and results of their relevant employees if any assessment parts are carried out. In the eventuality that ifs Malta would need to give any personal data to a third party, you will, on a case-by-case basis, be duly informed and you will also be allowed to refuse that such data be given. You have the right to request access to and rectify the personal data concerning you held by ifs Malta.