

# Private Client Investment Advice & Management



Private Client Investment Advice & Management (PCIAM) enables practitioners to demonstrate their broad understanding of the principles of private client investment advice, within the context of the current regulatory environment.

You will be able to structure a suitable portfolio, selecting from a range of financial products, which meets client objectives and is based on the key principles of know your customer. You will also be able to undertake regular portfolio reviews and evaluations to ensure continued adherence to client objectives.

**This qualification is accredited at EQF Level 6.**

## Who should take the PCIAM?

The stand-alone Qualification in Private Client Investment Advice & Management is specifically aimed at existing authorised advisers or advisers who already hold a benchmark retail qualification.

### Key Facts

- ◇ Real situations and issues contained in the syllabus
- ◇ Provides a sound grounding in investment principles and risk, and taxation planning
- ◇ Free CISI Student membership - become associated with a chartered professional body and take advantage of an extensive range of benefits
- ◇ MCSI designatory letters - you will be eligible to apply for MCSI membership of the Institute upon successful completion of the qualification

## Studying for the PCIAM

- ◇ Candidates are advised to allocate approximately 200 hours to prepare for the exam.

- ◇ The award is achieved by successfully passing one three-hour written exam.
- ◇ PCIAM examinations are held twice a year, and are assessed by written exam.
- ◇ The fee covers the registration, the exam entry, a copy of the pdf workbook and one year student membership.

### Assessment

A three-hour written exam comprising:

**Section A:** Ten compulsory short-answer questions; 40% of the marks

**Section B:** One essay from a choice of three; 20% of the marks

**Section C:** One compulsory question based on a case study; 40% of the marks

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### Syllabus Summary

- ◇ Financial Advice within a Regulated Environment
- ◇ Investment Taxation
- ◇ Trust and Trustees
- ◇ Principles of Finance Advice
- ◇ Financial Instruments and Products

### Higher level CISI qualifications

Further study opportunities include:

- ◇ Chartered Wealth Manager Qualification (EQF Level 7)

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## Registration Form

**CISI Fee**                      One time CISI Registration Fee                      [ ☒ ] € 85

**PCIAM**                      Registration & Certification                      [ ☐ ] € 730

**Resit Fee**                      Exam Re-Sit                      [ ☐ ] € 460

*\* the above fees include examination sitting, pdf workbooks and certification . For written exams a local invigilation fee will be charged.*

### Personal Information

Name & Surname: \_\_\_\_\_ CISI Membership (if any): \_\_\_\_\_

Date of Birth: \_\_\_\_ / \_\_\_\_ / \_\_\_\_\_ I.D. No.: \_\_\_\_\_ Contact No.: \_\_\_\_\_

Home Address: \_\_\_\_\_

E-mail Address: \_\_\_\_\_

### Office Information

Office Address: \_\_\_\_\_

Office E-mail: \_\_\_\_\_ Office Tel: \_\_\_\_\_

I certify that the information hereby provided is true and correct:

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**Refund Policy:** Full refund is offered prior to start of course, deducting a €50 administration fee. No refund applicable once the course has started.

**Membership:** Registration entitles you to become a full registered local member of the ifs Malta. This membership is valid for the duration of the course or a period of twelve months whichever is the longest.

**Data Protection Notice:** ifs Malta holds and processes your personal data in order to give you the full benefits of being a student, for administration purposes and to inform you of any future ifs Malta events, services and other related information. In instances where employers sponsor their employees for all or parts of the course/s, ifs Malta is bound to inform such employers upon the performance and results of their relevant employees if any assessment parts are carried out. ifs Malta does not sell or give your personal data to any other third party. In the eventuality that ifs Malta would need to give any personal data to a third party, you will on a case-by-case basis, be duly informed and you will also be given the opportunity to refuse that such data be given. You have the right to request access to and rectification of, the personal data concerning you that is held by ifs Malta.