

LIBF

EQF level 5/ RQF Level 4 Diploma for Financial Advisers (DipFA)



The DipFA is an industry benchmark financial adviser qualification, which meets the MFSA qualification requirement for retail investment advisers. Highly relevant and practical, the qualification is tailored to reflect the Financial Adviser role, giving you the knowledge and skills you need to use your learning in the workplace. The assessments include case-study-based learning that replicates the real-life activities of advisers and multiple-choice tasks with results provided on the day.

ifs Malta supports you in your learning through a tutor contact programme.

List of Modules:

Module 1 – Financial Services, Regulation and Ethics (FSRE)

Module 2 – Advanced Financial Advice – Taxation

Module 3 – Advanced Financial Advice -Investment

Module 4 – Advanced Financial Advice – Protection

Module 5 – Advanced Financial Advice – Retirement Planning

Module 6 – Advanced Financial Advice – Coursework

Learning Outcomes:

develop an in-depth understanding of the UK financial services industry and regulation;

- formulate and communicate holistic financial advice;
- create, implement and maintain financial plans;
- develop an understanding of current technical language and regulation;
- locate, extract and analyse data from different financial sources; and
- increase your ability to work and learn independently.

Target Audience:

Financial advisors or prospective advisors aiming to meet the regulator's qualification requirement for retail investment advisors.

Teaching Learning and Assessment Procedures:

This program offers a wide range of learning opportunities to ensure that learning and assessment activities are meaningful and beneficial for future development. Guided Learning includes study time under direct teacher supervision, instructional sessions, and supervised examinations. Students also spend time doing unsupervised research, e-learning, completion of coursework, exam preparation, and formal assessments.

The lectures are interactive and encourage discussions to enhance academic study skills, explore concepts, and apply them in practical settings. This approach equips learners with the ability to utilise diverse research and online tools and fosters discussions about the learners' existing knowledge and skills, enabling them to adapt and apply them effectively in their current context. Consistent feedback is provided throughout the course to support ongoing progress. Furthermore, students are introduced to relevant websites and other resources to expand their knowledge and skill set.

Mode of Assessment:

Module 1

Assessment for this module consists of two, one-hour exams, which are comprised of FSRE unit 1 (FRE1) and FSRE unit 2 (FRE2). Each unit will include 25 multiple-choice questions and 3 case studies with 5 linked multiple-choice questions. Each unit is worth a total of 40 marks, meaning that the entire exam is worth a total of 80 marks.

Modules 2–5

Each unit of each module includes 20 multiple-choice questions and 2 case studies with 5 multiple choice questions related to each case study. Each unit is worth 30 marks.

Module 6

This unit comprises coursework consisting of a pre-release fact find, requiring an essay response worth 240 marks.

Upon successful completion, you will be eligible for up to 70% tax credit, allowing you to recoup a portion of the associated costs.

This short course is accredited by the MFHEA, rated at MQF/EQF Level 5 and earning 5 ECTS. (Ofqual No: 501/0114/6) IFS Malta is licensed as a Further and higher Education Institution to deliver and award further and higher education programmes by the MFHEA bearing license number 2013-FHI-017.