

Award in Maltese Financial Regulations (MFR)



Aims of this course:

The primary objective of this Award is to provide an understanding of the local regulatory financial framework. It aims to help participants grasp the implications of the regulatory environment in which they operate and enhances their proficiency in conducting licensed investment/financial advice.

A non-exhaustive list of topics covered within this course includes the following:

- Law relating to banking and recovery and resolution
- Law relating to investment advice
- Law relating to insurance

Target Audience:

This program is recommended for students who have obtained or are in the process of obtaining the following qualifications:

- Diploma for Financial Advisers (DipFA)
- Diploma in Investment Advice (DiplA)
- Chartered Wealth Manager Qualification
- EFFAS Certified European Financial Analyst
- Anyone working in Financial Services who wants a basic understanding of Maltese Financial Regulations

Learning Outcomes:

Knowledge & Understanding

Upon finishing the training, the participant will have the ability to comprehend and utilise the knowledge to fulfil the needs of clients seeking practical financial guidance.

Skills:

Following the training, the participant will be capable of applying legislation, regulations, enforcement, and compliance to transactions involving financial advice.

Mode of Assessment:

The assessment will be a 2-hour written exam with a pass mark of 50%.

This short course is accredited by the MFHEA, rated at MQF/EQF Level 3 and earning 3 ECTS. IFS Malta is licensed as a Further and higher Education Institution to deliver and award further and higher education programmes by the MFHEA bearing license number 2013-FHI-017.



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REGISTRATION FORM

Personal Information

Name & Surname: _____

Date of Birth: ____/____/____ ID No: _____

Contact No: _____

Home Address: _____

E-mail: _____

Workplace Information

Name & Address: _____

Work e-mail: _____ Work Tel No: _____

I certify that the information hereby provided is true and correct.

Signature Date

Tick where appropriate

Price: €490 _____

If in conjunction and through IFS Malta with:

LIBF DipFA, LIBF DipIA, Chartered Wealth Management Qualification, EFFAS CEFA courses: €390 _____

To make payment kindly credit the following account:

A/C Holder: Institute of Financial Services - Malta

Bank: BOV Republic Street Valletta

A/C No: 10207225018

IBAN No: MT39VALL22013000000010207225018

BIC (Swift Code): VALLMTMT

To Register: Complete this form and send with proof of payment to info@ifsmalta.org

Refund Policy: A full refund is offered before the start of the course, deducting a €50 administration fee. No refund is applicable once the course has started.

Membership: Registration entitles you to become a fully registered local member of ifs Malta. This membership is valid for the duration of the course or a period of 12 months whichever is the longest.

Data Protection Notice: ifs Malta holds and processes your personal data to give you the full benefits of being a student, for administration purposes and to inform you of any future ifs Malta events, services and related information. IFS Malta does not sell or give your personal data to any third party. In instances where employers sponsor their employees for all or parts of the course, ifs Malta is bound to inform such employers of their performance and results of their relevant employees if any assessment parts are carried out. In the eventuality that ifs Malta would need to give any personal data to a third party, you will on a case-by-case basis, be duly informed and you will also be allowed to refuse that such data be given. You have the right to request access to and rectify the personal data concerning you held by ifs Malta.