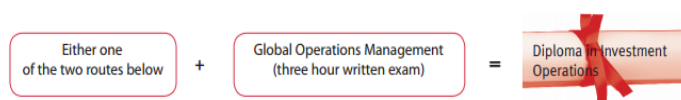


# Diploma in Investment Operations



The Diploma in Investment Operations offers practitioners the opportunity to specialise in the administration of investment funds and custody and settlement.

Within the industry, it is widely acknowledged as the most appropriate higher level qualification for professionals wanting to pursue a career in investment operations.



## How is the qualification structured?

The qualification is achieved by passing:

1. Advanced Certificate in Global Securities Operations is achieved by successfully passing:
    - ◇ Global Securities Operations (one hour, 50 multiple-choice question exam)
    - ◇ Advanced Global Securities Operations (three hour written exam)
- OR
2. This new route is achieved by successfully passing:
    - ◇ Level 4 Operational Risk (one hour, 50 multiple-choice question exam)
    - ◇ Level 5 Managing Operational Risk in Financial Institutions (1 hour 45 minutes, 70 multiple-choice question exam)

AND

Global Operations Management module

## Studying for the DIO

- ◇ Candidates are advised to allocate approximately 180 hours for the Advanced Certificate in Global Securities Operations unit, 220 hours for the Operational Risk and Managing Operational Risk in Financial Institutions and 200 hours for the Global Operations Management unit.
- ◇ The computer based testing is delivered at Computer Domain in Mosta.

## Who should study this Qualification?

The Diploma in Investment Operations is a valuable qualification if you hold a supervisory level position and you are aspiring to a senior management role in investment operations.

If you have already successfully completed either the Advanced Certificate in Global Securities Operations or the Advanced Certificate in Operational Risk you will only need to pass the Global Operational Management exam to complete the qualification.

## Next steps:

Upon successful completion of the Diploma in Investment Operations you will have the opportunity to upgrade to full membership and you will be able to use the MCSI designatory letters.

# Diploma in Investment Operations

## Registration Form

**CISI Fee** One time CISI Registration Fee [  ] € 65

**Route 1: Advanced Certificate in Global Securities Operations [  ]**

Title of Unit Registration Fee\* Resit Fee  
Global Securities Operations [  ] € 326 [  ] € 188

Advanced Global Security Operations [  ] € 510 [  ] € 365

**OR Route 2 [  ]**

Title of Unit Registration Fee\* Resit Fee  
Operational Risk [  ] € 326 [  ] € 188

Managing Operational Risk in Financial Institutions [  ] € 510 [  ] € 365

**AND** Registration Fee\*\* Resit Fee  
**Global Operations Management** [  ] € 692 [  ] € 438

\* the above fees include examination sitting, pdf workbooks and certification. For written exams a local invigilation fee will be charged.  
\*\*Price includes exam only and is subject to local invigilation fees.

<b>Personal Information</b>	
Name & Surname: _____	CISI Membership (if any): _____
Date of Birth: ____ / ____ / _____	I.D. No.: _____ Contact No.: _____
Home Address: _____	
E-mail Address: _____	
<b>Office Information</b>	
Office Address: _____	
Office E-mail: _____	Office Tel: _____
I certify that the information hereby provided is true and correct:	
_____	_____
Signature	Date

**Refund Policy:** Full refund is offered prior to start of course, deducting a €50 administration fee. No refund applicable once the course has started.

**Membership:** Registration entitles you to become a full registered local member of the ifs Malta. This membership is free and valid for a maximum period of twelve months, after which the Committee will evaluate and consider whether to extend such membership for another fixed period or for an indefinite period of time. Renewal of membership is valid for an additional twelve month period. The local membership is subject to review by the Committee at any time.

**Data Protection Notice:** ifs Malta holds and processes your personal data in order to give you the full benefits of being a student, for administration purposes and to inform you of any future ifs Malta events, services and other related information. In instances where employers sponsor their employees for all or parts of the course/s, ifs Malta is bound to inform such employers upon the performance and results of their relevant employees if any assessment parts are carried out. ifs Malta does not sell or give your personal data to any other third party. In the eventuality that ifs Malta would need to give any personal data to a third party, you will on a case-by-case basis, be duly informed and you will also be given the opportunity to refuse that such data be given. You have the right to request access to and rectification of, the personal data concerning you that is held by ifs Malta.