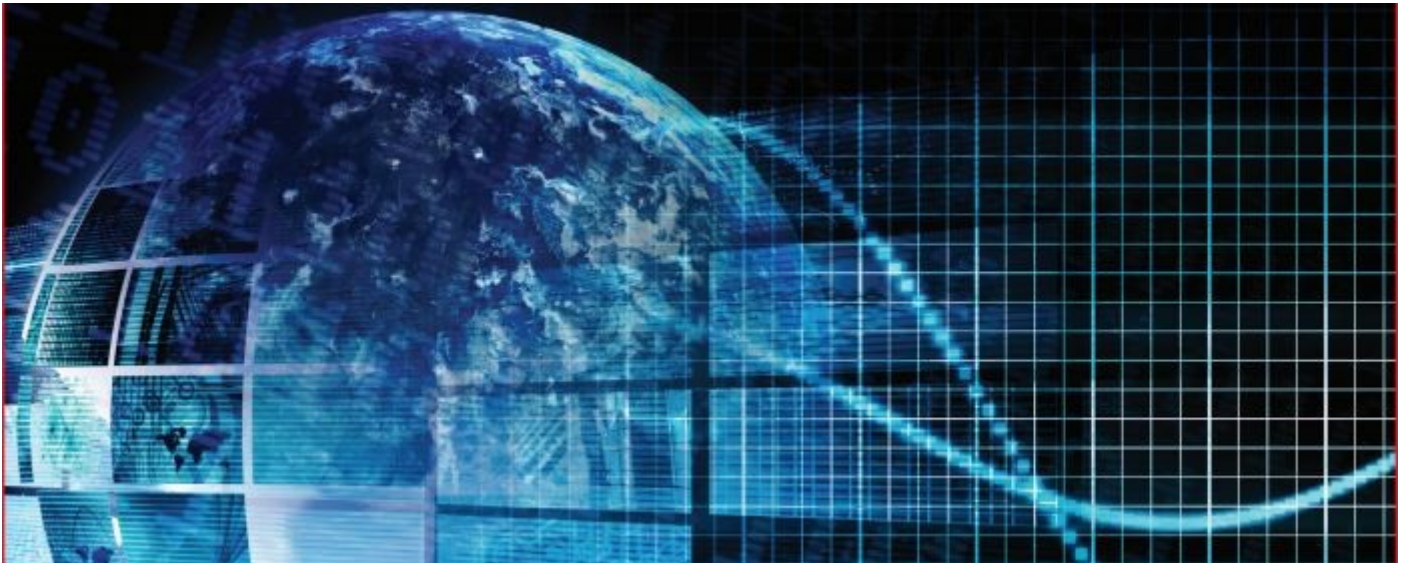


Advanced Certificate in Global Securities Operations

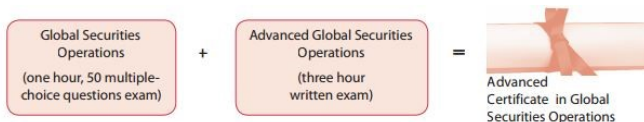


The Advanced Certificate in Global Securities Operations enables candidates to develop their skills and knowledge of operations within the global marketplace.

This Advanced Certificate will equip you with the confidence and qualifications to pursue a senior management position within operations. It explores the challenges of securities operations and covers best practice techniques used in the industry. It builds on the well-established CISI Investment Operations Certificate (IOC) and is a stepping-stone to the Diploma in Investment Operations.

How is the qualification structured?

The qualification is achieved by successfully passing:



Studying for the ACGSO

- ◇ Candidates are advised to allocate approximately 80 hours for the Global Securities Operations unit and 100 hours for the Advanced Global Securities Operations.
- ◇ The computer based testing is delivered at Computer Domain in Mosta.
- ◇ The fee covers the registration, the exam entry, a copy of the pdf workbook and one year student membership.

Higher level CISI qualifications

Further study opportunities include:

- ◇ Diploma in Investment Operations (EQF Level 6)

Syllabus summary

The qualification consists of two units:

Global Securities Operations

- ◇ Securities
- ◇ Main industry participants
- ◇ Settlement characteristics
- ◇ Other investor services
- ◇ Aspects of taxation
- ◇ Risk

Advanced Global Securities Operations

- ◇ Investment Account Openings
- ◇ UK Market and Stock Exchange Fees and Taxes
- ◇ Settlement
- ◇ Custodians
- ◇ Stock Lending and Stock Borrowing
- ◇ Cash Management
- ◇ Corporate Entitlements
- ◇ Straight-through Processing and Technology
- ◇ Service Level Agreements
- ◇ Managing Client Transitions to meet client-led objectives

Who should study this Qualification?

It is primarily aimed at supervisors, team leaders, assistant managers, managers and senior technical specialists working in investment operations (custody, settlements, corporate actions, treasury operations/cash management, derivatives and reconciliations).

If you have already successfully completed the Global Securities Operations unit within the IOC you are exempt from unit one and will only need to pass the Advanced Global Securities Operations exam to complete the qualification.