

# Financial Crime Regulation and Control Lessons Learnt in the UK

16th July 2012 (Monday) — 9.00hrs to 12.15 — MFSA Conference Hall, Notabile Road, Attard

## Overview

*CISI* and *ifs Malta* have teamed up to bring you a stimulating three-way panel event on financial crime. The Speakers will share experiences of financial crime regulation and control in the UK, together with the lessons and developments that can be brought to Malta. Financial Crime is a threat for the industry, law enforcement agencies and regulators costing the industry huge sums of money every year. It is a subject which spreads beyond individuals and firms, representing an issue of international significance in today's globalised marketplace. Fraud, corruption, insider dealing and money laundering risks must all be managed and mitigated, as the impacts of getting it wrong can be catastrophic. In response to the worldwide growing threats a diverse range of strategies and initiatives have been developed. With criminals becoming increasingly

sophisticated in their fraudulent dealings, it is essential for organisations to have knowledgeable, well-trained staff who can understand from where the risks are coming and how they can be mitigated, in order to attempt to stay all the time one step ahead of the fraudsters. **Dr. Dionysios Demetis**, has been writing on the subject matter of AML for nearly a decade. He will provide an overview of the global AML/CFT and technological challenges. **Mr. John Horan**, an ex-law enforcement will give a practical view to financial crime enforcement, whilst **Mr. Alex Bustos** would draw the wider discussion specifically to Malta

*"A firm must take reasonable care to organise and control its affairs responsibly and effectively, with adequate risk management systems"*  
*Financial Services Authority, UK*

## Target Audience

Central Bankers  
Law Firms  
Insurers, Brokers & Intermediaries  
Audit Firms  
MLROs  
Financial Intelligence Officers  
Fund Administrators

Financial Intelligence Unit  
Credit & Financial Institutions  
Professional Associations  
Police & Prosecutors  
Risk Management Specialists  
Financial Crime Investigators  
Betting & Gaming

Regulator  
Investment Companies  
Real Estate Providers  
Compliance Officers  
Company Directors / CEOs  
Certified Public Accountants

## Programme

9.00hrs — 9.30hrs	<b>Registration</b>
9.30hrs — 9.45hrs	<b>Introduction</b> Dr. Anton Bartolo, Director Enforcement Unit, MFSA
9.45hrs — 10.15hrs	<b>First Speaker Topic Presentation</b> Dr. Dionysios Demetis, Head of the AML/CTF Group, Syntax IT Inc.
10.15hrs — 10.45hrs	<b>Second Speaker Topic Presentation</b> Mr. John Horan, Director, CAML
10.45hrs — 11.15hrs	<b>Coffee Break</b>
11.15hrs — 11.45hrs	<b>Third Speaker Topic Presentation</b> Mr. Alex Bustos, President of the CISI National Advisory Council (Malta)
11.45hrs — 12.15hrs	Questions & Answers

## Organisers:



## Supported by:



## Speakers



### Dr. Dionysios S. Demetis

Dr. Demetis has a PhD on Anti-Money Laundering from the London School of Economics (UK) where he has also taught classes in information systems management, information systems security and methodology for research dissertations. His research on the Risk-Based Approach has been featured in the select-biography of the United Nations IMOLIN network while his latest publication on 'data growth' and the consequences for AML received the Highly Commended Award from Emerald at the Journal of Money Laundering Control. He leads the AML and Counter Terrorist Financing Group at Syntax IT Inc, a dedicated team in providing specialised services, training and consulting to financial institutions and FIUs. He also lectures at the Thomas Jefferson School of Law in San Diego, California.



### Mr. John Horan

John leads the CAML Global Anti-Money Laundering department which he joined from a multinational foreign exchange where he worked as the Groups Money Laundering Reporting Officer. Prior to this, he spent 24 years working as a detective in the PSNI where he specialised in money laundering investigations and was the designated Anti-Money Laundering trainer for detectives in the Financial Investigation Unit of the PSNI. John has delivered training in Poland, Bulgaria and Canada as well as being a keynote at various Anti-Money Laundering conventions across the world.



### Mr. Alex Bustos

Alex is presently Head of Legal & Compliance and acting MLRO at VoiceCash Bank. He also fulfils the role of acting President on behalf of CISI in Malta. He holds years of experience on Legal and Regulatory Compliance matters both in the UK and also across European and International countries, including Americas, Africa, Middle East and Australasia. His specialities are Regulatory Compliance, Financial Crime Prevention and International Law. Alex holds an International Diploma in AML, Financial Crime Prevention awarded by the University of Manchester, an MA in European Law and Politics awarded by the University of Newcastle-upon-Tyne, a BA in Law from the Universidad Art. P. and various other qualifications awarded by the same CISI.

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## Registrant Details

Registrant Name & Surname: \_\_\_\_\_

Designation: \_\_\_\_\_

Organisation: \_\_\_\_\_

Tel: \_\_\_\_\_ E-mail: \_\_\_\_\_

Billing Address: \_\_\_\_\_

## Registrant Fee



CISI Members € 40



Non-Members € 60

Group discounts are applicable on a case-by-case basis. Please contact Mr. Robert Sultana on Tel: 21241380 or E-mail: [rsultana@ifsmalta.org](mailto:rsultana@ifsmalta.org) to discuss further.

## Methods of Payment



**By Cheque:** in euro denomination made payable to the "Institute of Financial Services—Malta" and mailed to the attention of the Administration Secretary on 60, G'Mangia Hill, Pieta PTA 1316.



**By Bank Transfer:** A/C Holder: ifs Malta Bank Details: Bank of Valletta Branch Account: 10207225018  
IBAN: MT39VALL2201300000010207225018 BIC (Swift): VALLMTMT

**Membership:** Registration entitles you to become a full registered member of the ifs Malta. This membership is free of charge and is valid for a maximum period of 12 months, after which the Committee will evaluate and consider whether to extend such membership for another fixed period of for an indefinite period of time. Renewal of membership is valid for an additional period of twelve months. The local membership is subject to review by the Committee at any time.

**Data Protection Notice:** The ifs Malta holds and processes your personal data in order to give you full benefits of being a student, for administrative purposes and to inform you of any future events, services and other related information. In the eventuality that ifs Malta would need to give any personal data to a third party, you will on a case-by-case basis be duly informed of this and you will also be given the opportunity to refuse that such data is given. You have the right to request access to and rectification of, the personal data concerning you that is held by the ifs Malta.